California Consumer Privacy Act

When This Policy Applies to Your Personal Information

This Privacy Policy applies to Personal Information of California residents as defined by the California Consumer Privacy Act of 2018 (CCPA), other than as described below.

When This Policy Does Not Apply to Your Personal Information

Please note that this Policy does not apply to your Personal Information that we protect under other laws, such as the Gramm-Leach-Bliley Act (GLBA) and the Health Insurance Portability and Accountability Act (HIPAA). It does not apply to Personal Information collected with respect to the products and services we provide as an investment advisor or asset manager (e.g., mutual funds, retirement planning, financial planning, etc.) or in the context of business-to-business relationships. For a description of our privacy practices as well as your rights and choices in these instances, please refer to our Privacy Policy. Also, this Policy does not apply to Personal Information collected in the context of workforce type relationships. This includes Personal Information of our applicants, employees, directors, officers, members, and contractors.

Who We Are


Information We Collect

We collect Personal Information as defined by the CCPA (“Personal Information”) as part of our business. In the year before the date this policy was issued or last updated, we may have collected the following categories of Personal Information from consumers:

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
<th>Collected</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Identifiers</td>
<td>A real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, Social Security number, driver’s license number, passport number, or other similar identifiers.</td>
<td>Yes</td>
</tr>
<tr>
<td>B. Financial Information and other personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e))</td>
<td>A name, signature, Social Security number, physical characteristics or description, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education, employment, employment history, bank account number, any other financial information, or medical information. Some personal information included in this category may overlap with other categories.</td>
<td>Yes</td>
</tr>
<tr>
<td>C. Protected classification characteristics under California or federal law</td>
<td>Age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).</td>
<td>Yes</td>
</tr>
<tr>
<td>D. Commercial Information</td>
<td>Records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.</td>
<td>Yes</td>
</tr>
<tr>
<td>E. Biometric Information</td>
<td>Genetic, physiological, behavioral, and biological characteristics, or activity patterns used to extract a template or other identifier or identifying information, such as, fingerprints, faceprints, and voiceprints, iris or retina scans, keystroke, gait, or other physical patterns, and sleep, health, or exercise data.</td>
<td>No</td>
</tr>
<tr>
<td>F. Internet or other similar network activity</td>
<td>Browsing history, search history, information on a consumer’s interaction with a website, application, or advertisement.</td>
<td>Yes</td>
</tr>
<tr>
<td>G. Geolocation data</td>
<td>Physical location or movements.</td>
<td>No</td>
</tr>
<tr>
<td>H. Sensory data</td>
<td>Audio, electronic, visual, thermal, olfactory, or similar information.</td>
<td>No</td>
</tr>
<tr>
<td>I. Professional or employment-related information</td>
<td>Current or past job history or performance evaluations.</td>
<td>Yes</td>
</tr>
<tr>
<td>J. Non-public education information</td>
<td>Education records directly related to a student maintained by an educational institution or party acting on its behalf, such as grades, transcripts, class lists, student schedules, student identification codes, student financial information, or student disciplinary records.</td>
<td>No</td>
</tr>
<tr>
<td>K. Inferences drawn from other personal information</td>
<td>Profile reflecting a person's preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.</td>
<td>Yes</td>
</tr>
</tbody>
</table>
We receive the categories of Personal Information listed above from the following categories of sources:

· Directly from you or your third-party financial professional, both in writing and orally. For example, from documents that our clients provide to us related to the services for which they hire us
· Directly and indirectly from your activity on our website (www.manning-napier.com) and interactions with our electronic communications
· From third-parties that interact with us in connection with the services we perform. For example, from custodians that provide financial services to our clients
· Personal information that we derive or infer from other personal information
· Publicly available information

Use of Personal Information

We may use or disclose the personal information we collect for one or more of the following business purposes:

· To provide financial services to you
· To provide you with other information, products, or services that you request from us
· To create, maintain, customize, and secure your account with us
· To process your requests and transactions
· To provide you with notifications, reports, statements, invitations and other notices concerning products, services, events, or news that you may request or that may be of interest to you
· To carry out our obligations and enforce our rights arising from any contracts between you and us, including for billing and collections
· To improve our website and electronic communications and present their contents to you
· To respond to regulators (e.g., SEC), external auditors, law enforcement and other governmental agencies, as required by applicable law or court order
· As described to you when collecting your personal information or as otherwise set forth in the CCPA

We will not collect additional categories of your personal information or use the personal information we collected for materially different, unrelated, or incompatible purposes without providing you with advanced notice.

Sharing of Personal Information

In the preceding twelve (12) months, we have disclosed the following categories of personal information for a business purpose:

Category A: Identifiers
Category B: California Customer Records personal information categories
Category C: Protected classification characteristics under California or federal law
Category D: Records of personal property or services purchased or obtained
Category F: Internet or other similar network activity
Category I: Professional or employment-related information

To the following categories of third parties:

· Our affiliates
· Our vendors and service providers
· *Non-affiliated third-party service providers that perform marketing or other services on our behalf.
· Third parties to whom you or your agents authorize us to disclose personal information in connection with products or services we (or they) provide to you

*Non-affiliated third parties are contractually obligated to keep the information that we provide to them confidential and to use the information only to provide the services for which they were retained.

In the preceding twelve (12) months we have not sold any personal information and do not intend to sell such information in the future. This includes Personal Information of minors under 16 years of age.

Your Rights and Choices
Right to Know / Access

Under the CCPA, and subject to certain exemptions, California residents have:

- The right to know what personal information is being collected about them
- The right to know whether their personal information is sold or disclosed and to whom
- The right to access their personal information

Right to Delete Personal Information

Under the CCPA, and subject to certain exemptions, California residents have:

- The right to request deletion of their personal information that Manning & Napier is not required to maintain under Federal Securities Laws or otherwise

Right to Object to Sale of Personal Information

Under the CCPA, and subject to certain exemptions, California residents have:

- The right to opt out of the sale of their personal information to any third parties.

*Manning & Napier does not sell your personal information to any third parties.*

Exercising Access, Data Portability, and Deletion Rights

To exercise the access, data portability, and deletion rights described above, please submit a verifiable consumer request to us by either:

- Calling us at (800) 551-0224
- Visiting our Website:  [https://www.manning-napier.com/company/contact](https://www.manning-napier.com/company/contact)

Response Timing

We endeavor to respond to a verifiable consumer request within 45 Calendar days of its receipt. If we require more time (up to 90 Calendar days), we will inform you of the reason and extension period in writing.